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FORM PTO-1390 US DEPARTMENT OF COMMERCE PATENT & TRADEMARK OFFICE ATTORNEY'S DOCKET NUMBER (REV. 01-2003), 127104 TRANSMITTAL LETTER TO THE UNITED STATES U.S. APPLICATION NO. (If known, see 37 CFR 1.5) DESIGNATED/ELECTED OFFICE (DO/EO/US) New U.S. Netional Standard 152004/012296 CONCERNING A FILING UNDER 35 U.S.C. 371 INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PRIORITY DATE CLAIMED PCT/JP2004/012296 August 26, 2004 August 26, 2003 TITLE OF INVENTION OPTICAL ELEMENT AND EXPOSURE APPARATUS APPLICANTS FOR DO/EO/US Takeshi SHIRAI; Takao KOKUBUN; Hitoshi ISHIZAWA; Atsunobu MURAKAMI Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. 2. This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. 囟 This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include 3. items (5), (6), (9) and (21) indicated below. Ì 4. The US has been elected (Article 31). 5. A copy of the International Application as filed (35 U.S.C. 371(c)(2)) a. 🛛 is attached hereto (required only if not communicated by the International Bureau). b. has been communicated by the International Bureau. c. is not required, as the application was filed in the United States Receiving Office (RO/US). 6. An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)) a. X is attached hereto. b. has been previously submitted under 35 U.S.C. 154(d)(4). c. The International Application was filed in English. 7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) a. 

are attached hereto (required only if not communicated by the International Bureau). b. have been communicated by the International Bureau. c. In have not been made; however, the time limit for making such amendments has NOT expired. d. have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 8.  $\Box$ 9: An oath or declaration of the inventors (35 U.S.C. 371(c)(4)). 10. П An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). Items 11 to 20 below concern document(s) or information included: 11. An Information Disclosure Statement under 37 CFR 1.97 and 1.98. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 12.  $\boxtimes$ 13.  $\boxtimes$ A preliminary amendment. 14.  $\boxtimes$ An Application Data Sheet under 37 CFR 1.76. 15. A substitute specification. '16.  $\boxtimes$ A power of attorney and/or change of address letter. 17. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825. **'**18. A second copy of the published international application under 35 U.S.C. 154(d)(4). 19. A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). 20. Other items or information: Transmittal of Power of Attorney and Statement 4.0

## IAP20 Rec'd PCT/PTO 23 FEB 2006

U.S. APPLICATION NO. (if known, New U.S. National Stage of PCT/JP2004012296	S. APPLICATION NO. (if known, see 37 C.F.R. 1.5) ew U.S. National Stage of 9 2 0 7 CT/JP2004012296		TION NO.	ATTORNEY'S DOCKET NUMBER 127104			
21.   The following fees	s are submitted:	<del></del>		CALCULATIONS	PTO USE ONLY		
BASIC NATIONAL FEE (3	\$300.00						
SEARCH FEE (37 CFR 1.4	\$400.00						
International preliminary ex the USPTO as IPEA or ISA industrial applicability for al national stage							
International search fee (37							
International search report the search fee is paid	provided to USPTO						
All situations not provided f							
<b>EXAMINATION FEE (37 C</b>	\$200.00						
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International preliminary ex the USPTO as IPEA or ISA industrial applicability for al national stage	A and favorable as to I claims presented i						
All situations not provided f							
Surcharge of \$130.00 for fue arliest claimed priority date	\$						
TOTAL PAGES OF APPLICATION OVER 100 (232 - 100)	132 ÷ 50	= †3	x 250 =	\$750.00			
†round up to next intege		L AUMADED EVEDA					
CLAIMS TOTAL CLAIMS	NUMBER FILED 62 - 20	NUMBER EXTRA	RATE	\$			
INDEPENDENT CLAIMS	4 - 3	= 42	x 50.00 =	\$2100.00			
MULTIPLE DEPENDENT C		<u> </u>	x 200.00 = + 360.00 =	\$200.00 \$			
MOETH EE BEITENBERT	\$3950.00						
☐ Applicant claims small	\$	· · · · · · · · · · · · · · · · · · ·					
reduced by ½.	Ψ						
	\$3950.00						
Processing fee of \$130.00 to the earliest claimed priority	\$						
Fee for recording the enclose	\$3950.00						
accompanied by an approp	\$						
	\$3950.00						
				Amount to be			
				refunded:	\$		
<u></u>				charged:	\$		
<ul> <li>a.</li></ul>							
Deposit Account No. 15-0461. A duplicate copy of this sheet is enclosed.  Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.							
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petitiop to revive (21 CFR 1.137(a) or (b))							
must be filed and granted to restore the application to pending status.  SEND ALL CORRESPONDENCE TO:							
OLIFF & BERRIDGE, PLC							
Customer Number: 25944 NAME: Mario A. Costantino REGISTRATION NUMBER: 33,565							
Date <u>February 23, 2006</u> NAME: Daniel A. Tanner, III  REGISTRATION NUMBER: 54,734					34		

## WP20 Rec'd PCT/PTO 23 FEB 2006

U.SAPPLICATION NO. (If known, see 37 C.F.R. 1.5) New U.S. Nation (Stage of こんのかのでは、 PCT/JP2004/012/96U)//シののかのでは、	ATTORNEY'S DOCKET NUMBER 127104						
21.  The following fees are submitted:	<del></del>	CALCULATIONS	PTO USE ONLY				
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BASIC NATIONAL FEE (37 CFR 1.492(a)):		\$ 300.00	\$300.00				
SEARCH FEE (37 CFR 1.492(b)(1)-(3)):		\$400.00	\ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \				
International preliminary examination report or the USPTO as IPEA or ISA and favorable as to industrial applicability for all claims presented in national stage							
International search fee (37 CFR 1.445(a)(2)) p							
International search report provided to USPTO the search fee is paid							
All situations not provided for above		\$ 500.00					
EXAMINATION FEE (37 CFR 1.492(c)(1)-(2)):	\$200.00						
laterational as Paris and a second at							
International preliminary examination report or the USPTO as IPEA or ISA and favorable as to							
industrial applicability for all claims presented in	n the application entering	ng the					
national stage	•••••	\$ 0.00					
All situations not provided for above		¢ 200 00					
Surcharge of \$130.00 for furnishing the oath or			\$				
earliest claimed priority date (37 CFR 1.492(e))		o months nom the	Ψ				
TOTAL PAGES OF APPLICATION OVER 100 (232 - 100)	= †3	x 250 =	\$750.00				
†round up to next integer							
CLAIMS NUMBER FILED	NUMBER EXTRA	RATE	\$				
TOTAL CLAIMS 62 - 20	= 42	x 50.00 =	\$2100.00				
INDEPENDENT CLAIMS 4 - 3	= 1	x 200.00 =	\$200.00				
MULTIPLE DEPENDENT CLAIM(S)(if applicab	\$						
	\$3950.00						
Applicant claims small entity status. See 3 reduced by ½.	\$						
Processing fee of \$130.00 for furnishing the En	\$3950.00 \$						
the earliest claimed priority date (37 CFR 1.492	(f)).	ian 50 months nom	•				
	\$3950.00						
Fee for recording the enclosed assignment (37 accompanied by an appropriate cover sheet (3)	\$						
accompanied by an appropriate cover sneet (3)	\$3950.00						
	TOTAL FE	ES ENCLOSED =	Amount to be				
			refunded:	\$			
		ita a Mariotana a	charged:	\$			
a.							
b. Please charge my Deposit Account No in the amount of \$ to cover the above fees. A duplicate copy of this sheet is enclosed.							
<ul> <li>The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>15-0461</u>. A duplicate copy of this sheet is enclosed.</li> </ul>							
d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.							
NOTE 111							
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (27) CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.							
SEND ALL CORRESPONDENCE TO:							
OLIFF & BERRIDGE, PLC							
Customer Number: 25944 NAME: Mario A. Costantino							
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